

## General Assembly

## Raised Bill No. 491

February Session, 2000

LCO No. 2023

Referred to Committee on Labor and Public Employees

Introduced by: (LAB)

## An Act Concerning Workers' Compensation Commissioners.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (b) of section 31-280 of the general statutes is
- 2 repealed and the following is substituted in lieu thereof:
- 3 (b) The chairman of the Workers' Compensation Commission shall:
- 4 (1) Establish workers' compensation districts and district offices
- 5 within the state, assign compensation commissioners to the districts to
- 6 hear all matters arising under this chapter within the districts and may
- 7 reassign compensation commissioners once each year, except that
- 8 when there is a vacancy, illness or other emergency, or when
- 9 unexpected caseload increases require, the chairman may reassign
- 10 compensation commissioners more than once each year;
- 11 (2) Adopt such rules as the chairman, in consultation with the
- 12 advisory board, deems necessary for the conduct of the internal affairs
- 13 of the Workers' Compensation Commission;
- 14 (3) Adopt regulations, in consultation with the advisory board and
- in accordance with the provisions of chapter 54, to carry out [his] the

- 16 <u>chairman's</u> responsibilities under this chapter;
- 17 (4) Prepare and adopt an annual budget and plan of operation in 18 consultation with the advisory board;
- (5) Prepare and submit an annual report to the Governor and theGeneral Assembly;
- 21 (6) Allocate the resources of the commission to carry out the 22 purposes of this chapter;
- 23 (7) Establish an organizational structure and such divisions for the 24 commission, consistent with this chapter, as the chairman deems 25 necessary for the efficient and prompt operation of the commission;
- 26 (8) Establish policy for all matters over which the commission has 27 jurisdiction, including rehabilitation, education, statistical support and 28 administrative appeals;
- (9) Appoint such supplementary advisory panels as the chairmandeems necessary and helpful;
- 31 (10) Establish, in consultation with the advisory board, (A) an 32 approved list of practicing physicians, surgeons, podiatrists, 33 optometrists and dentists from which an injured employee shall 34 choose for examination and treatment under the provisions of this 35 chapter, which shall include, but not be limited to, classifications of 36 approved practitioners by specialty, and (B) standards for the approval 37 and removal of physicians, surgeons, podiatrists, optometrists and 38 dentists from the list by the chairman;
- (11) (A) Establish standards in consultation with the advisory board for approving all fees for services rendered under this chapter by attorneys, physicians, surgeons, podiatrists, optometrists, dentists and other persons;
- 43 (B) In consultation with employers, their insurance carriers, union

44 and third-party representatives, physicians reimbursement 45 organizations establish, not later than October 1, 1993, and publish 46 annually thereafter, a fee schedule setting the fees payable by an 47 employer or its insurance carrier for services rendered under this 48 chapter by an approved physician, surgeon, podiatrist, optometrist or 49 dentist, provided the fee schedule shall not apply to services rendered 50 to a claimant who is participating in an employer's managed care plan 51 pursuant to section 31-279. The fee schedule shall limit the annual 52 growth in total medical fees to the annual percentage increase in the 53 consumer price index for all urban workers. Payment of the 54 established fees by the employer or its insurance carrier shall 55 constitute payment in full to the practitioner, and the practitioner may 56 not recover any additional amount from the claimant to whom services 57 have been rendered;

- (C) Issue, not later than October 1, 1993, and publish annually thereafter, guidelines for the maximum fees payable by a claimant for any legal services rendered by an attorney in connection with the provisions of this chapter, which fees shall be approved in accordance with the standards established by the chairman pursuant to subparagraph (A) of this subdivision;
- 64 (12) Approve applications for employer-sponsored medical care 65 plans, based on standards developed in consultation with a medical 66 advisory panel as provided in section 31-279;
- (13) Establish procedures for the hiring, dismissing or otherwise disciplining and promoting employees of the commission, subject where appropriate to the provisions of chapter 67;
  - (14) Control the hearing calendars of the compensation commissioners, and if necessary, preside over informal hearings in regard to compensation under the provisions of this chapter in order to facilitate the timely and efficient processing of cases;
- 74 (15) Enter into contracts with consultants and such other persons as

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- 75 necessary for the proper functioning of the commission;
- 76 (16) Direct and supervise all administrative affairs of the 77 commission;
- 78 (17) Keep and maintain a record of all advisory board proceedings;
- 79 (18) Assign and reassign a district manager and other staff to each of 80 the commission's district offices;
- 81 (19) Collect and analyze statistical data concerning the 82 administration of the Workers' Compensation Commission;
  - (20) Direct and supervise the implementation of a uniform case filing and processing system in each of the district offices that will include, but not be limited to, the ability to provide data on the number of cases having multiple hearings, the number of postponed hearings and hearing schedules for each district office;
  - (21) Establish staff development, training and education programs designed to improve the quality of service provided by the commission, including, but not limited to, a program to train district office staff in the screening of hearing requests;
  - (22) Develop standard forms for requesting hearings and standard policies regarding limits on the number of informal hearings that will be allowed under this chapter, and limits on the number of postponements that will be permitted before a formal hearing is held pursuant to section 31-297;
- 97 (23) Develop guidelines for expediting disputed cases;
- 98 (24) Establish an ongoing training program, in consultation with the 99 advisory board, designed to assist the commissioners in the fulfillment 100 of their duties pursuant to the provisions of section 31-278, which 101 program shall include instruction in the following areas: Discovery, 102 evidence, statutory interpretation, medical terminology, legal decision

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writing and the purpose and procedures of informal and formal hearings;

- [(25) Evaluate, in conjunction with the advisory board, the performance of each commissioner biannually and, notwithstanding the provisions of subsection (b) of section 1-210 and chapter 55, make the performance evaluation of any commissioner available only to the Governor, the members of the joint standing committee on the judiciary and the respective commissioner prior to any public hearing on the reappointment of any such commissioner. Any information disclosed to such persons shall be used by such persons only for the purpose for which it was given and shall not be disclosed to any other person;]
- [(26)] (25) (A) In consultation with insurers and practitioners, establish not later than October 1, 1993, and publish annually thereafter, practitioner billing guidelines for employers, workers' compensation insurance carriers and practitioners approved by the chairman pursuant to subdivision (10) of this subsection. The guidelines shall include procedures for the resolution of billing disputes and shall prohibit a practitioner from billing or soliciting payments from a claimant for services rendered to the claimant under the provisions of this chapter (i) during a payment dispute between the practitioner and the employer or its workers' compensation insurance carrier, or (ii) in excess of the maximum fees established pursuant to subparagraph (B) of subdivision (11) of this subsection;
- (B) In consultation with practitioners and insurers, develop not later than July 1, 1994, practice protocols for reasonable and appropriate treatment of a claimant under the provisions of this chapter, based on the diagnosis of injury or illness. The commission shall annually publish the practice protocols for use by approved practitioners, employers, workers' compensation insurance carriers and commissioners in evaluating the necessity and appropriateness of care provided to a claimant under the provisions of this chapter;

- 135 (C) In consultation with practitioners and insurers, develop not later 136 than July 1, 1994, utilization review procedures for reasonable and 137 appropriate treatment of a claimant under the provisions of this 138 chapter. The chairman shall annually publish the procedures for use 139 approved practitioners, employers, workers' compensation 140 insurance carriers and commissioners in evaluating the necessity and 141 appropriateness of care provided to a claimant under the provisions of 142 this chapter.
- Sec. 2. Section 31-280a of the general statutes is repealed and the following is substituted in lieu thereof:
  - (a) There shall be an Advisory Board of the Workers' Compensation Commission to advise the chairman on matters concerning policy for and the operation of the commission. The advisory board shall consist of eight members, who shall be appointed by the Governor, with the advice and consent of the General Assembly. Four of such members shall represent employees and four shall represent employers. One of such members representing employees shall be an individual who has suffered an extensive disability arising out of and in the course of [his] employment. One of such members representing employers shall be a representative of a major general hospital in the state. On or before January 1, 1992, the Governor shall appoint, and the General Assembly shall confirm, such members of the advisory board as follows: Two shall serve a term of four years from said date, one of whom shall represent employees and one of whom shall represent employers; two shall serve a term of three years from said date, one of whom shall represent employees and one of whom shall represent employers; two shall serve a term of two years from said date, one of whom shall represent employees and one of whom shall represent employers; and two shall serve a term of one year from said date, one of whom shall represent employees and one of whom shall represent employers. Thereafter such members shall be appointed for a term of four years from January first in the year of their appointment. Any vacancy on the advisory board shall be filled for the remainder of the term in the

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- same manner as the original appointment. The chairman of the Workers' Compensation Commission shall serve as an ex-officio member of the advisory board without the power to vote.
  - (b) The appointed members of the advisory board shall select a ninth member who shall be impartial and shall serve as the chairman of the advisory board. The members of the advisory board shall serve without compensation. Each member shall be reimbursed for expenses necessarily incurred by the member in the performance of [his] the member's duties. The advisory board shall not be construed to be a board or commission subject to the provisions of section 4-9a. The Workers' Compensation Commission shall provide such staff as is necessary for the performance of the functions and duties of the advisory board.
  - (c) The advisory board shall meet at least twice in each calendar quarter and at such other times as the chairman or the chairman of the Workers' Compensation Commission deem necessary. All actions of the advisory board shall require the affirmative vote of six members of the advisory board. The advisory board may bring any matter related to the operation of the workers' compensation system to the attention of the chairman of the Workers' Compensation Commission. The advisory board may adopt any rules of procedure that the board deems necessary to carry out its duties under this chapter.
  - [(d) The advisory board shall submit its written recommendations concerning the reappointment of each compensation commissioner to the Governor and the General Assembly not later than three months before the expiration of the term of the commissioner.]

## Statement of Purpose:

To establish a more uniform method for evaluating and reappointing workers' compensation commissioners by utilizing the same methods used for judges and family support magistrates.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]